

Fatigue & Sleep Apnea in Professional Transportation

Jeffrey S. Durmer, MD, PhD
Chief Medical Officer
jdurmer@fusionhealth.com



Sleep



Recover



Perform

Overview

Fatigue

⇒ the basics of human fatigue, driving metrics and the impact of sleep

Managing Fatigue

⇒ the evolution of fatigue management

Sleep and Sleep Apnea

⇒ the importance of sleep and the silent sleep apnea problem

Managing Sleep Apnea in a Professional Transportation Environment

⇒ the present & future of sleep apnea policy, programs & outcomes

The Many Faces of Fatigue



Fatigue Is Defined By Its Effects on Behavior

FSS questionnaire							
During the past week, I have found that:	Disagree ←-----→ Agree						
My motivation is lower when I am fatigued.	1	2	3	4	5	6	7
Exercise brings on my fatigue.	1	2	3	4	5	6	7
I am easily fatigued.	1	2	3	4	5	6	7
Fatigue interferes with my physical functioning.	1	2	3	4	5	6	7
Fatigue causes frequent problems for me.	1	2	3	4	5	6	7
My fatigue prevents sustained physical functioning.	1	2	3	4	5	6	7
Fatigue interferes with carrying out certain duties and responsibilities.	1	2	3	4	5	6	7
Fatigue is among my three most disabling symptoms.	1	2	3	4	5	6	7
Fatigue interferes with my work, family, or social life.	1	2	3	4	5	6	7

VAS for fatigue questionnaire	
How much fatigue are you having now?	
0	10
No fatigue	Worst possible fatigue



Fatigue Is Measured By Reduced Function & Impaired Abilities

Fatigue Reduces

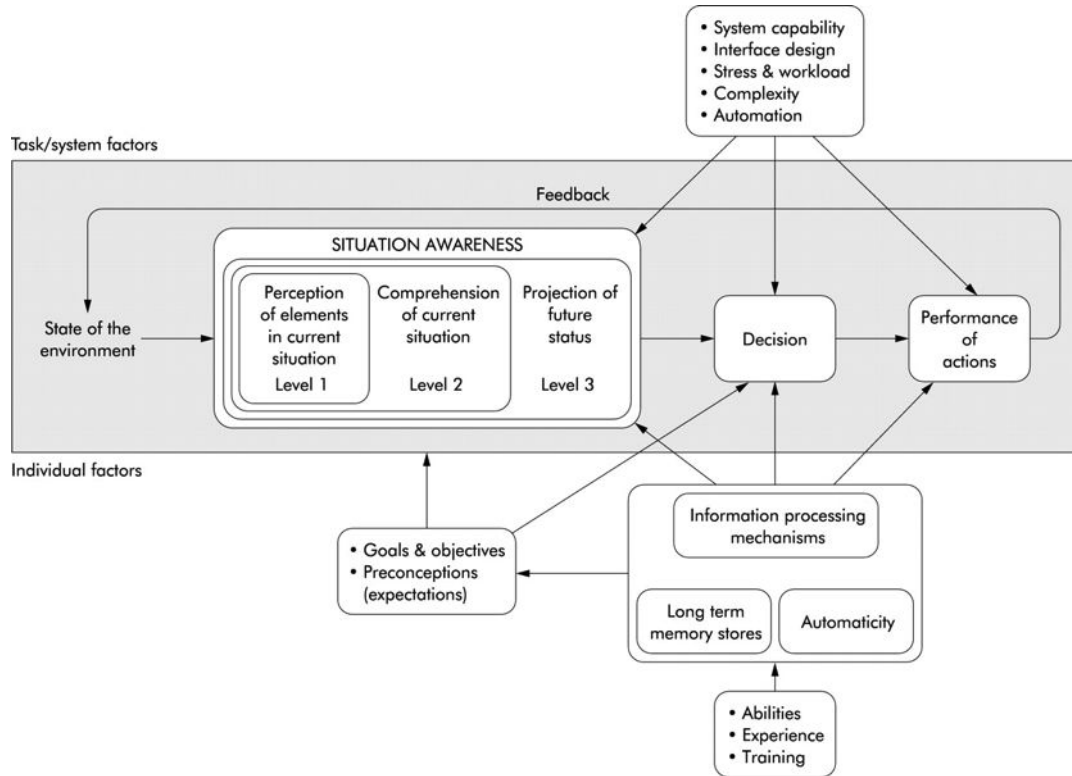
- Mood & Motivation
- Physical Performance
- Attention & Awareness
- Problem Solving
- Creativity
- Resilience
- Learning & Memory
- Physical & Mental Health

Fatigue Impairs

- Judgement
- Productivity
- Communication
- Quality of Life
- Socialization
- Leadership
- Emotional Regulation
- Safety



Measuring Human Fatigue: Model of Situational Awareness

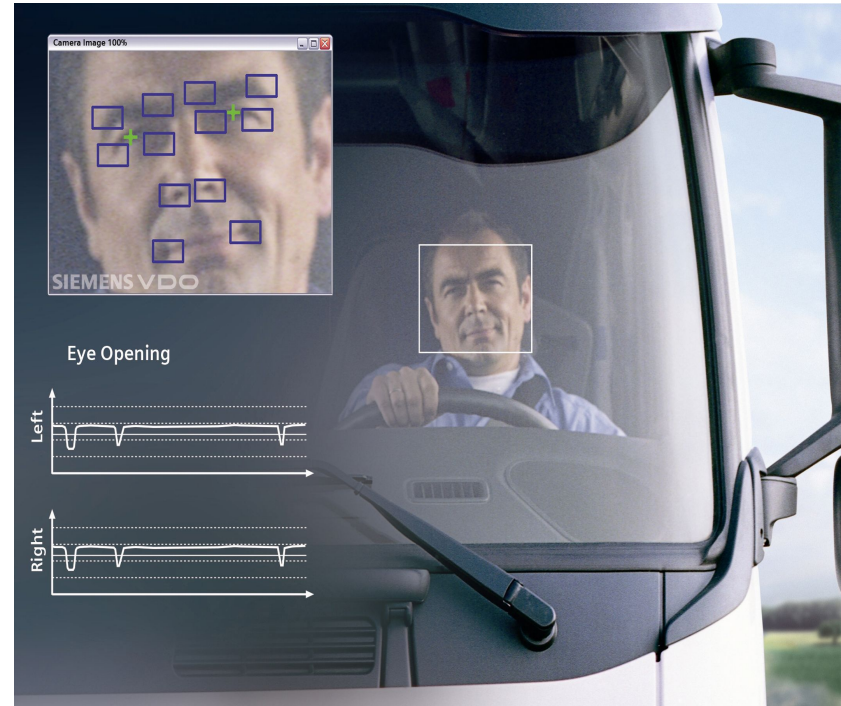
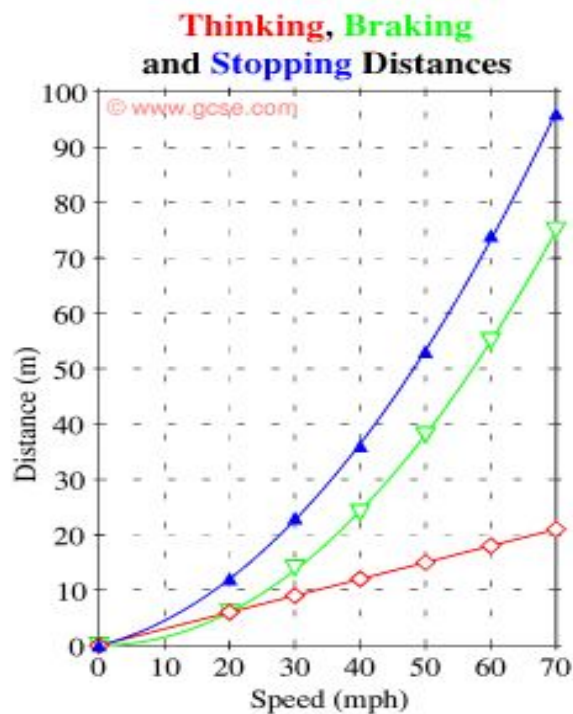


Focus is on the Task

Focus is *During* the Task

Model of Situational Awareness in Dynamic Decision Making (Endsley, 1995)

Current Fatigue Detection During a Driving Task



Fatigue Risk Detection Moving to Pre-Task Prediction

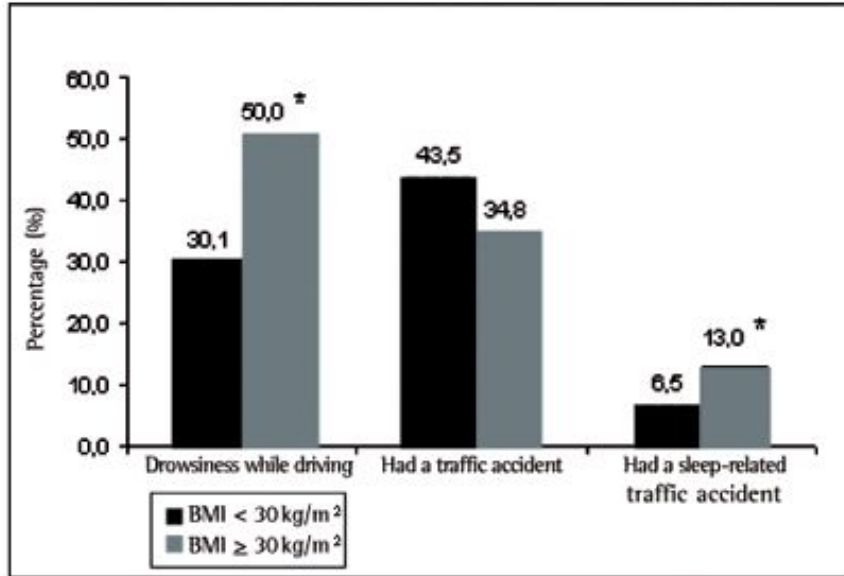
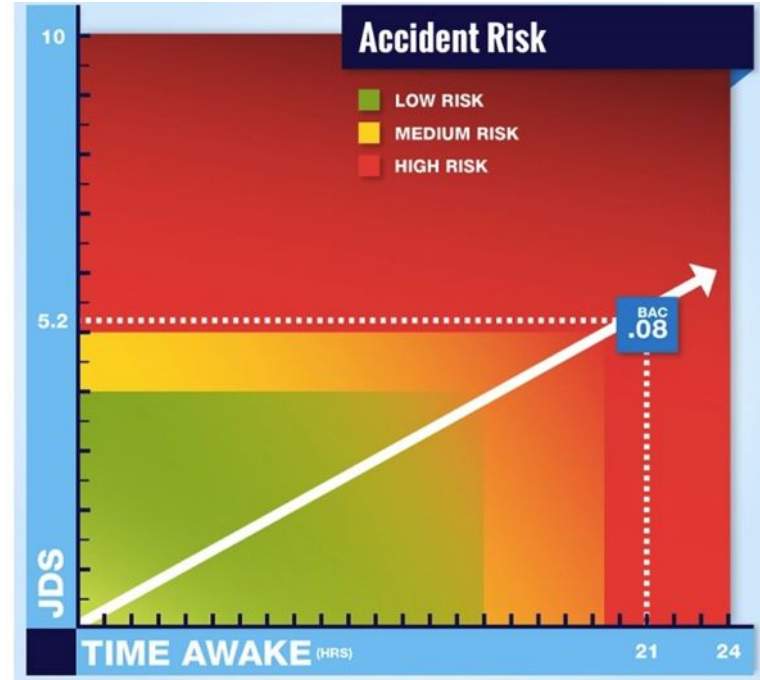
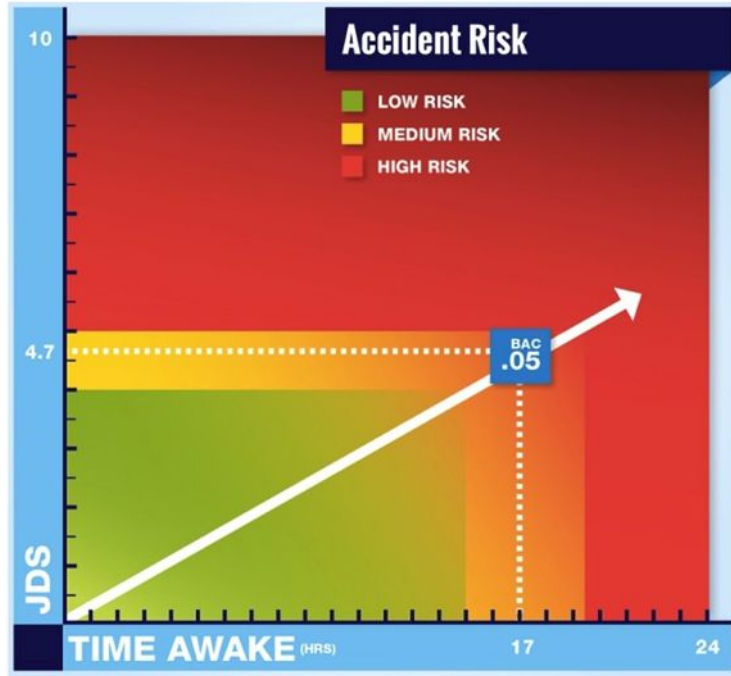


Figure 3 - Drivers divided according to sleepiness, number of accidents and BMI, in percentages $p < 0.05$; BMI: body mass index

Focus on the Person *Before* the Task

Biometrics Predict & Prevent
the Risk for Situational
Awareness-Related Accidents

Accident Prediction Equivalents Between Sleepiness & EtOH



Accident Prediction Equivalents Between Sleepiness & EtOH

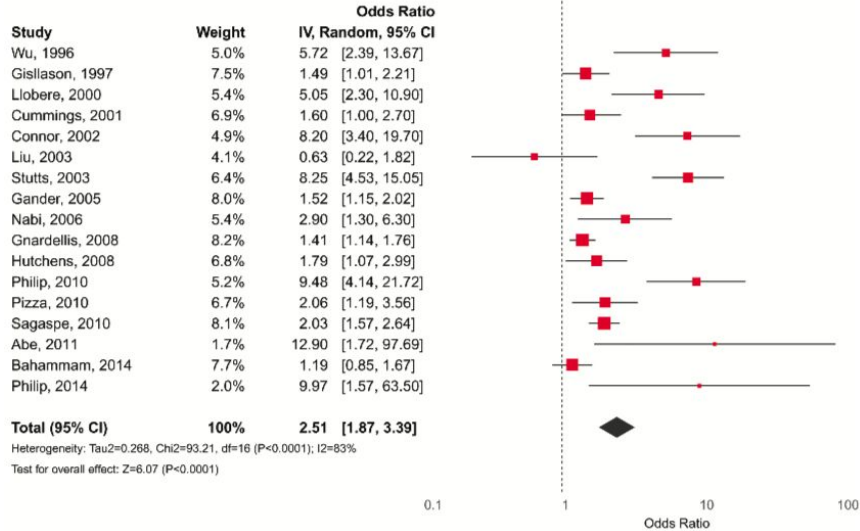


Table. Factors Associated With the Driver Being Responsible for a Serious Crash (Multivariate Analysis)

Factor	Adjusted OR (95% CI)
Age, y	
18-29	1.80 (1.08-2.87)
≥30	1 [Reference]
Type of vehicle	
Car	2.30 (1.33-3.97)
Other	1 [Reference]
Did you drink alcohol on the day of the crash?	
No	1 [Reference]
Yes	2.39 (1.36-4.21)
How sleepy were you during the 15 minutes before the crash?	
"Feeling active, vital, alert, or wide awake" to "Functioning at high levels, but not at peak; able to concentrate"	1 [Reference]
"Awake, but relaxed; responsive but not fully alert" to "Asleep"	2.00 (1.05-3.81)
Use of medicinal drugs before the crash	
No	1 [Reference]
Yes without pictogram	0.46 (0.25-0.86)
Yes with pictogram (DADAs)	0.58 (0.29-1.15)

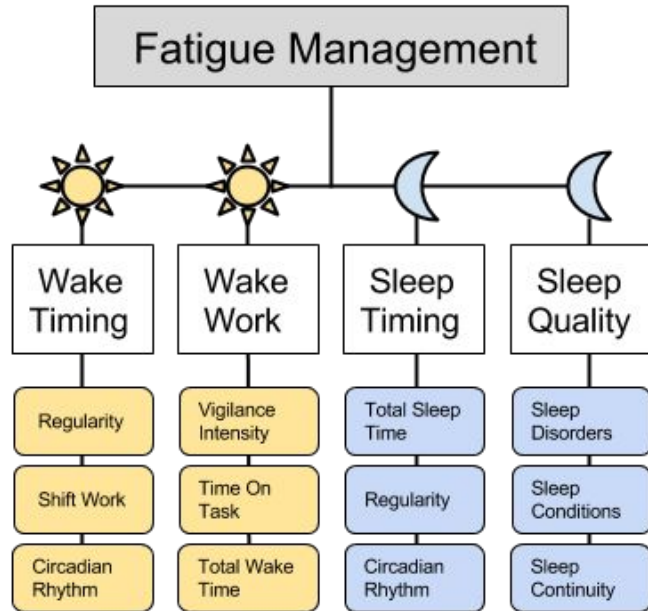
Abbreviations: DADAs, drugs affecting driving ability; OR, odds ratio.

2017 meta-analysis of 17 studies from 5 continents with more than 70,000 drivers over a 20 years period showed an overall **2.66x increased risk** of motor vehicle accidents due to sleepiness

Alcohol Use & Sleepiness are Equivalent Risk Factors for a Crash
2.39x vs 2.66x Increased Risk

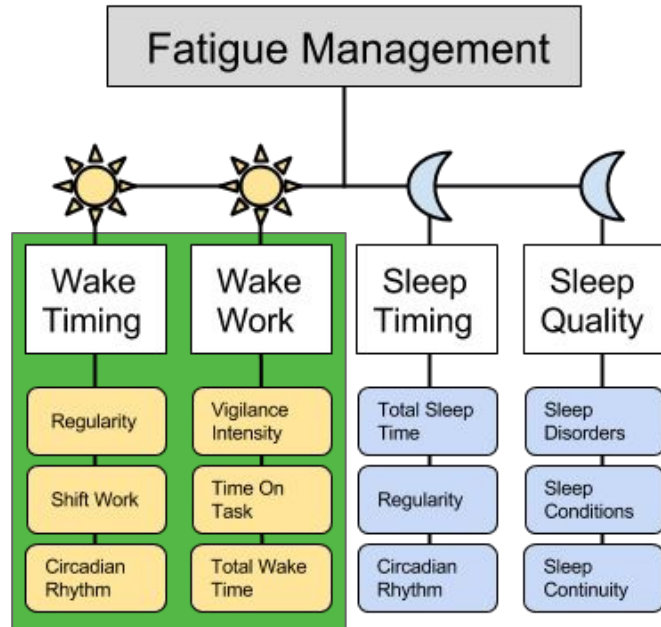
Managing Fatigue

24 Hour Cycle of Fatigue Management



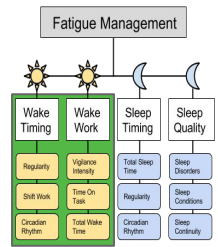
Placing the focus on Wake & Sleep periods that predict Human Performance

24 Hour Cycle of Fatigue Management



The Wake period of Human Performance

24 Hour Cycle of Fatigue - Wake Period



Regular Cues

Light activates wake promoting brain regions, shuts down sleep circuits, causes cortisol release
Determines work performance maximums/minimums

Accentuate wake cues – light activation for consistent cortisol release; routine wake behaviors & pharmacotherapy as required to accentuate circadian timing

Shift Work & Extended Hours

Consistency of wake/sleep patterns predict regular circadian entrainment to environmental cues
Off-circadian work increases accidents, health complications and productivity costs

Maintain regular circadian entrainment to environmental cues by limiting variability. Use multiple sleep neurobiological strategies to combat shift work sleep loss such as pre-shift light therapy, regulating off-shift wake periods, post-shift wavelength filtering glasses & pharmacotherapy. Provide recovery performance naps for Off-Circadian work hours

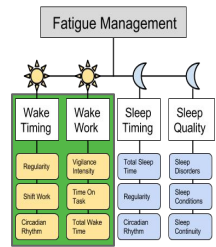
Circadian Rhythms

Inherited biological rhythms for sleep and wake *predict* periods for optimal wake and sleep performance

Determine circadian variability of individuals to predict and avoid potential timing conflicts with biological rhythms

Van Dongen HP & Dinges DF, *Clin Sports Med*, 2005;24(2):237-49; Van Dongen HP, *Chronobiol Int*, 2006;23(6):1139-47; Mollicone DJ et al. *Aviat Space Environ Med*, 2010; 81(8):735-44; Waggoner LB et al., *SLEEP*, 2012;35(11):1575-7; Griefahn B & Robens S, *Eur J Appl Physiol*, 2010;108(4):719-26; Goel N et al., *Prog Mol Biol Transl Sci*, 2013;119:155-90; Bolvin DB et al., *Chronobiol Int*, 2012;29(5):629-40; Wilhelmsen-Langeland A et al., *J Biol Rhythms*, 2013;28(5):306-21; Gulyani S et al., *Chest*, 2012;142(6):1659-68; Giannotti F et al., *J Sleep Res*, 2002;11(3):191-9; Roenneberg T et al., *Sleep Med Rev*, 2007;11(6):429-38; Matsumoto, K and M Harada. 1994. The effect of night-time naps on recovery from fatigue following night work. *Ergonomics* 37:899-907

24 Hour Cycle of Fatigue - Wake Period



Vigilance Intensity

Psychomotor energy required to maintain attention without omission or commission errors

Measure the psychomotor energy required to avoid over-exposure and fatigue

Rate intensities and implement controls to avoid fatigue

Time on Task

Continuous behavior or attention increases physical and psychological fatigue

Create attention breaks to enhance physical and psychological performance based on vigilance intensity ratings

Total Wake Time

Sustained wake predict performance lapses - after 15 hours of wakefulness, performance degrades to levels equal to alcohol intoxication

Total wake periods should be maintained below 15 hrs; initiate sleep recovery strategies including napping, sleep banking and recovery sleep

Designate compensatory medical and OTC preparations for use

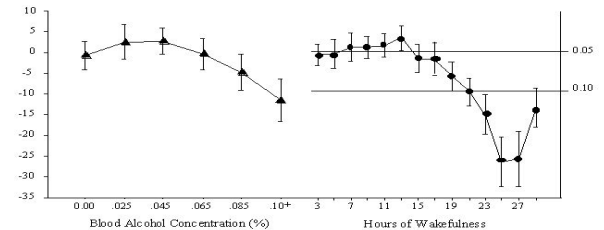
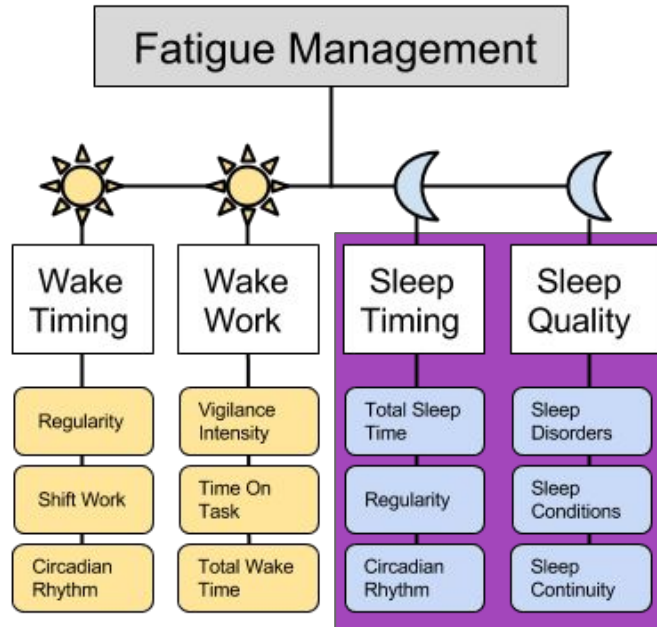


FIG. 1. Mean relative performance levels for response latency component of the grammatical sentence task in the alcohol intoxication (left) and sustained wakefulness condition. The equivalent performance decrement at a BAC of 0.05% and 0.10% are indicated on the right hand axis. Error bars indicate \pm one s.e.m.

24 Hour Cycle of Fatigue Management



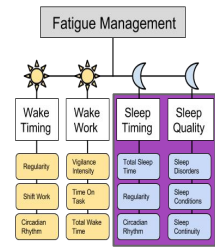
The Sleep period of Human Performance

24 Hour Cycle of Fatigue - Sleep Period

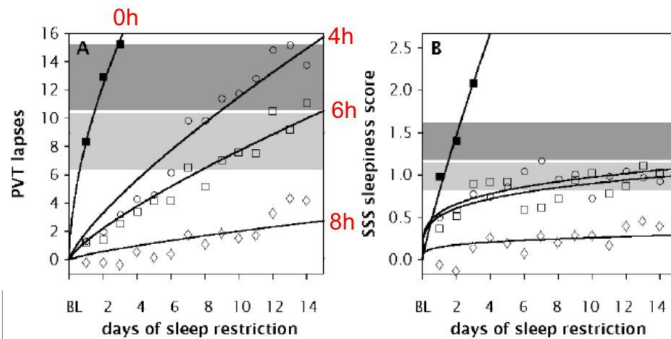
Total Sleep Time

Chronic partial sleep loss impacts cognitive and motor systems that determine performance
 Total Sleep Time (including performance naps) is directly related to energy balance

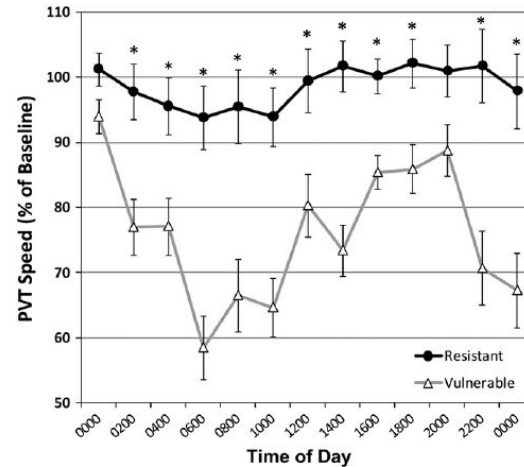
Schedule work-related activities that allow sleep opportunities of 7-9 hours
 Provide incremental 30 min performance naps for extended work schedules and shift-work schedules



Chronic Sleep Restriction v Total Sleep Deprivation

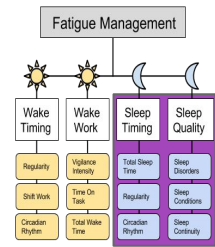


Van Dongen et al. *Sleep*, 2003



Durmer JS & Dinges DF, *Semin Neurol*, 2005;25(1):117-29; Banks S & Dinges DF, *J Clin Sleep Med*, 2007;15(5):519-28; Fernandez-Mendoza J et al., *SLEEP*, 2010;33(4):459-65; Smith MR et al., *SLEEP*, 2009;32(11):1481-9; Shekleton JA et al., *J Clin Sleep Med*, 2013;9(4):353-62; Goel N et al., *Prog Mol Biol Transl Sci*, 2013;119:155-9; Crowley SJ et al., *SLEEP*, 2004;27(6):1077-87; Niu SF et al., *J Nurs Res*, 2011;19(1):68-81; Killgore WDS et al., *Executive Functions and the Ability to Sustain Vigilance During Sleep Loss*. *Aviation Space and Envir Med*. 2009;80: 81-7; Van Dongen HP et al., *SLEEP*, 2003;2:117-26

24 Hour Cycle of Fatigue - Sleep Period



Total Sleep Time

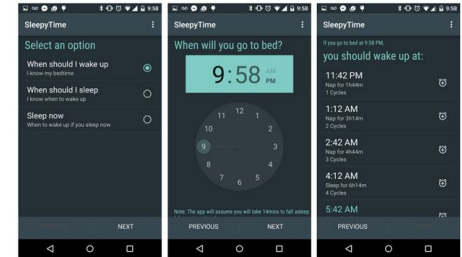
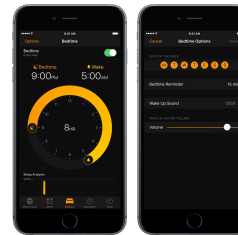
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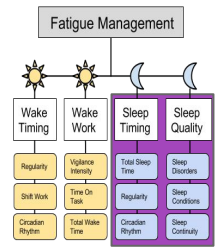
Regularity of Sleep Schedule

Routine sleep-conducive behaviors, environments and timing for sleep periods enhance the recovery function of sleep and directly impacts wake performance

Educate workforce on proper sleep behaviors, timing and effects
Measure sleep/wake timing with technology and utilize incentives for outcomes
Light treatment/avoidance therapies for off-circadian work shifts and pre-shift preparation



24 Hour Cycle of Fatigue - Sleep Period



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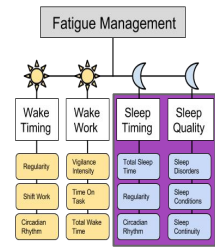
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Circadian Rhythm

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Determine genetic variability of individuals to predict and avoid potential timing conflicts with intrinsic biological rhythms

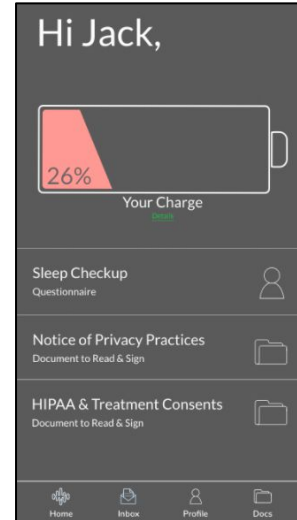
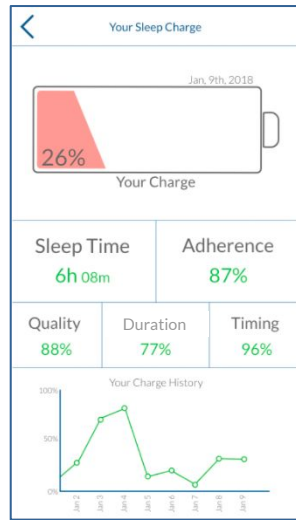
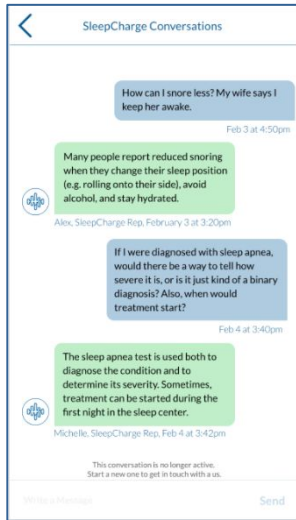
24 Hour Cycle of Fatigue - Sleep Period



Sleep Disorders

Over 80 different sleep disorders & 80% of people with them are undiagnosed and untreated
Common Sleep Disorders contribute to disease, reduced vitality, lost productivity and accidents

Technology-enabled population risk assessments, tele-diagnostics & treatment with continuous care

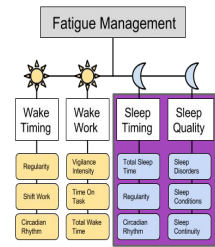


24 Hour Cycle of Fatigue - Sleep Period

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Sleep Conditions

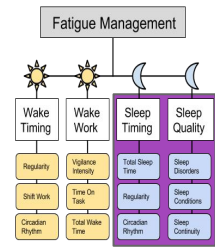
Environmental noise, temperature, light and comfort impact both sleep quality and quantity
Medical & non-medical problems like pain, anxiety, depression and stress interfere with sleep

Educate workforce on sleep environments and provide workplace napping/sleep choices that utilize these characteristics

Provide connectivity to non-medical and ancillary medical treatments for sleep disrupting problems



24 Hour Cycle of Fatigue - Sleep Period



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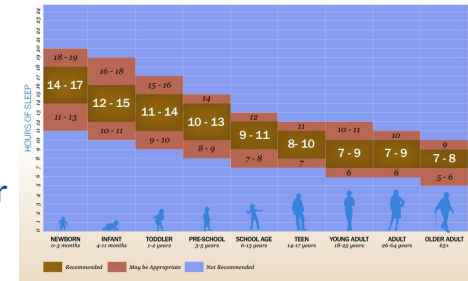
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Sleep Continuity

Fragmented sleep and inadequate sleep duration due to multiple physical and psychological conditions impair sleep cycles required for health, cognitive and mood benefits

Educate workforce on sleep duration requirements, and provide support for sleep with family sleep support services, childhood/parenting sleep training, and integration of sleep solutions for existing condition & wellbeing management programs



Sleep & Sleep Apnea

The Elements of Good Sleep

Sleep Duration



Sleep Timing

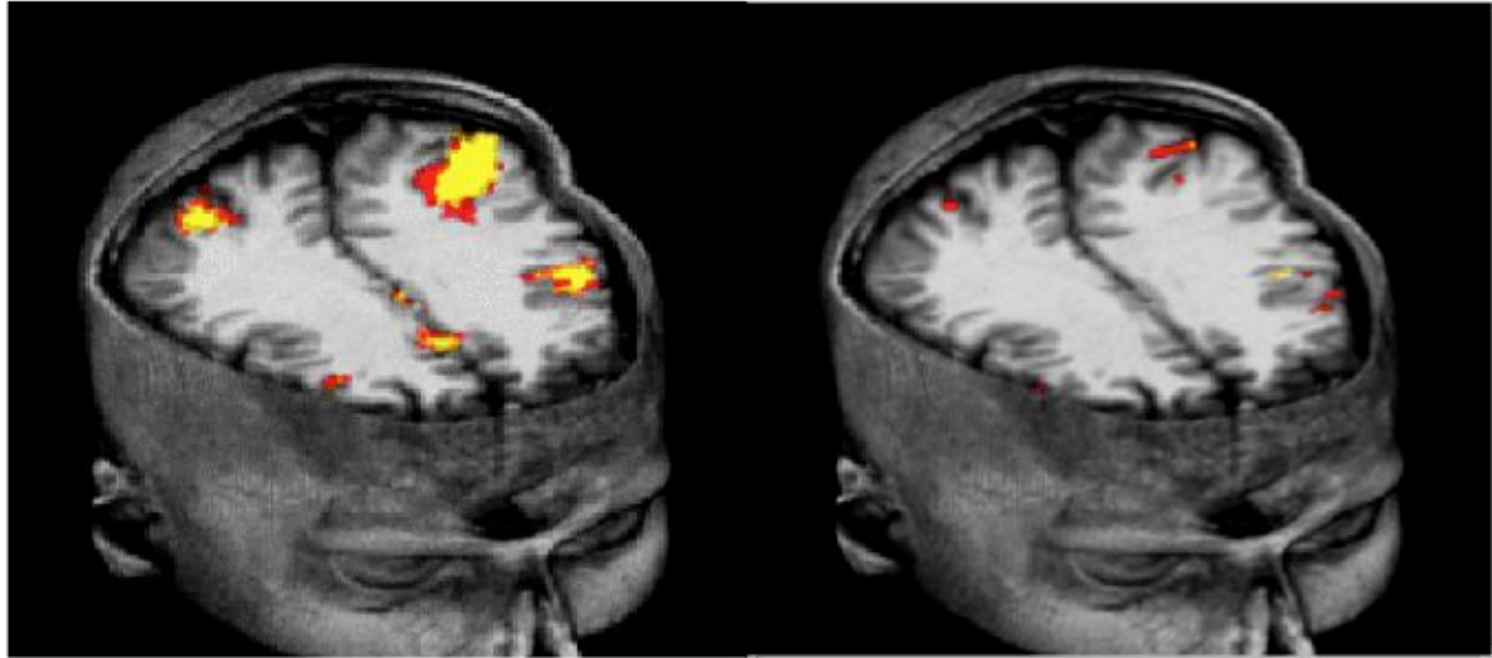


Sleep Quality



$$\left[\text{Sleep}_{\text{CHARGE}} = \frac{\text{Quality} \times \text{Duration}}{\text{Timing (Variability)}} \right]$$

On Any Given Night ... When the Brain Does Not Rest



Normal Activity & Preserved Performance

Loss of Activity & Loss of Performance

Adapted from Drummond S et al., NeuroReport 1999

Business Impact of Poor Sleep for US Employers

50% Less Productive

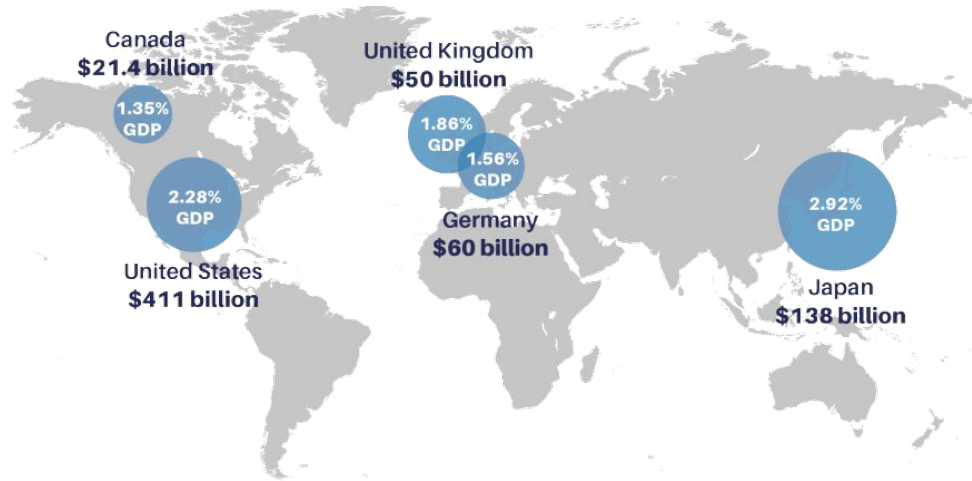
3.6X More Costly to the Health Plan

2.9X More Likely to Cause Workplace Accidents

2.2X More Likely to Take Extended Disability Leave

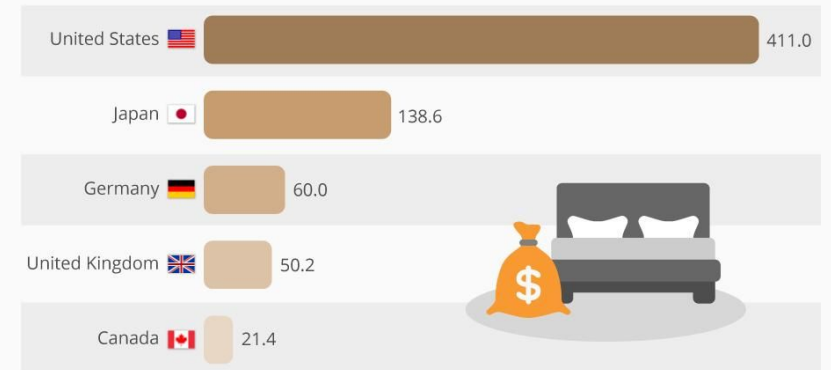
242% More Sick Days

Economic Impact of Poor Sleep for the World



The Enormous Cost Of Sleep Deprivation

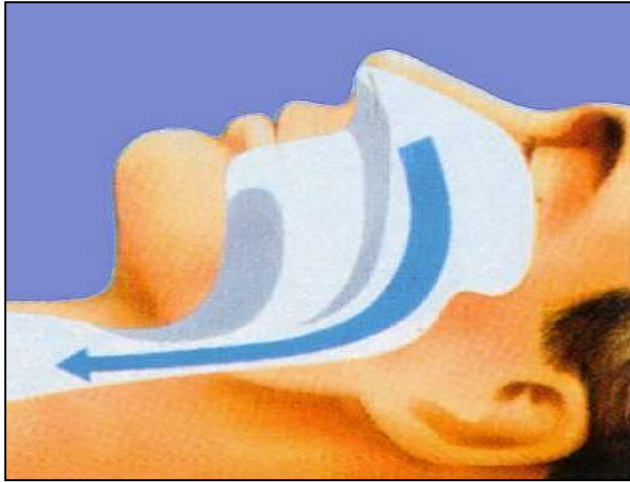
Estimated annual cost of insufficient sleep in GDP terms (billion U.S. dollars)*



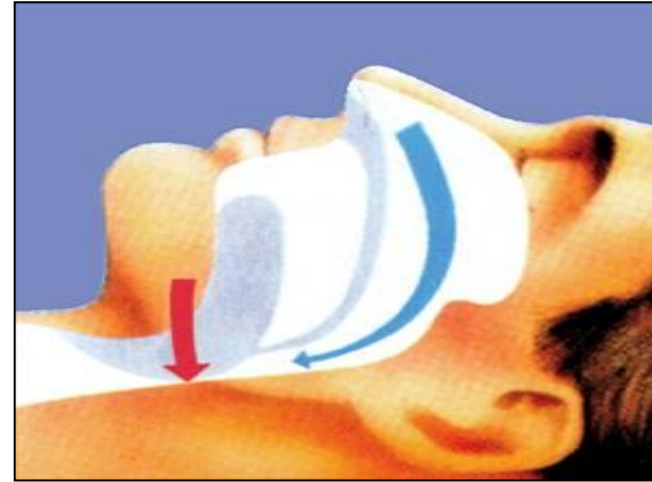
* Highest estimate - due to loss of productivity
@StatistaCharts Source: RAND

Forbes statista

The Most Commonly Diagnosed Sleep Condition: Obstructive Sleep Apnea (OSA)



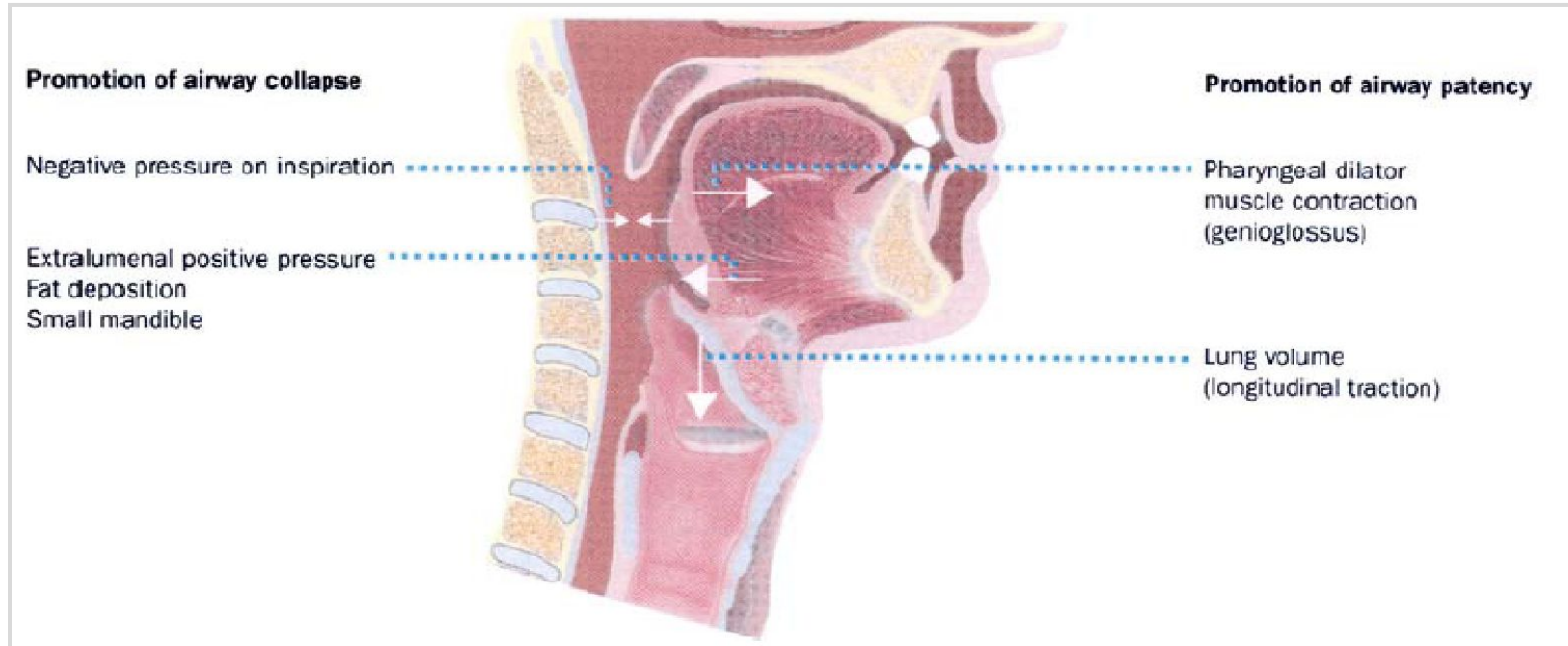
OPEN



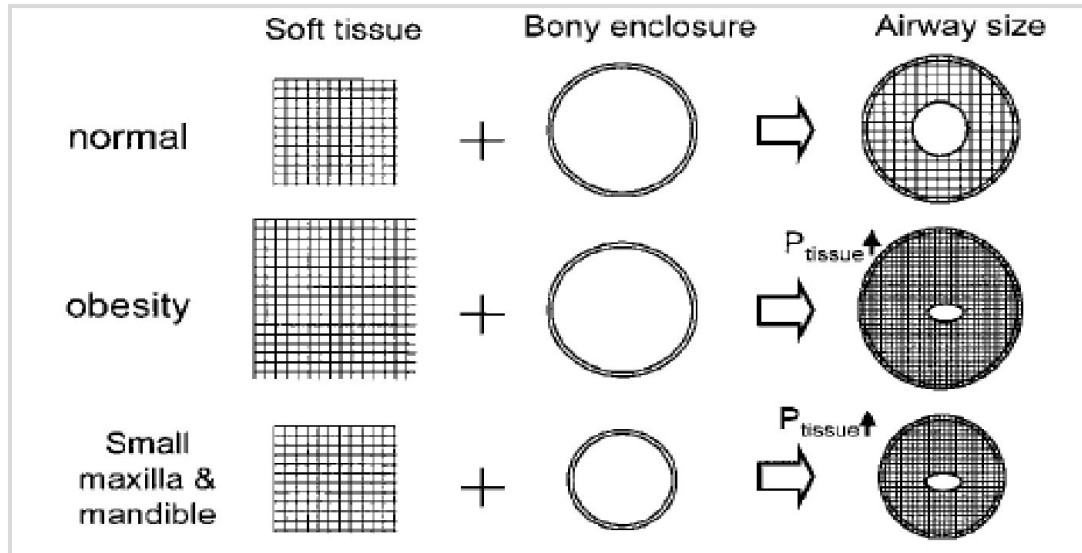
OBSTRUCTED

Apneas + Hypopneas / Hours of Sleep = **Apnea Hypopnea Index (AHI)**
(Normal ≤ 5 /hr) (Mild = 5.1-14.9/hr) (Moderate 15-29.9/hr) (Severe ≥ 30 /hr)

How Does OSA Happen & Why It Is So Common

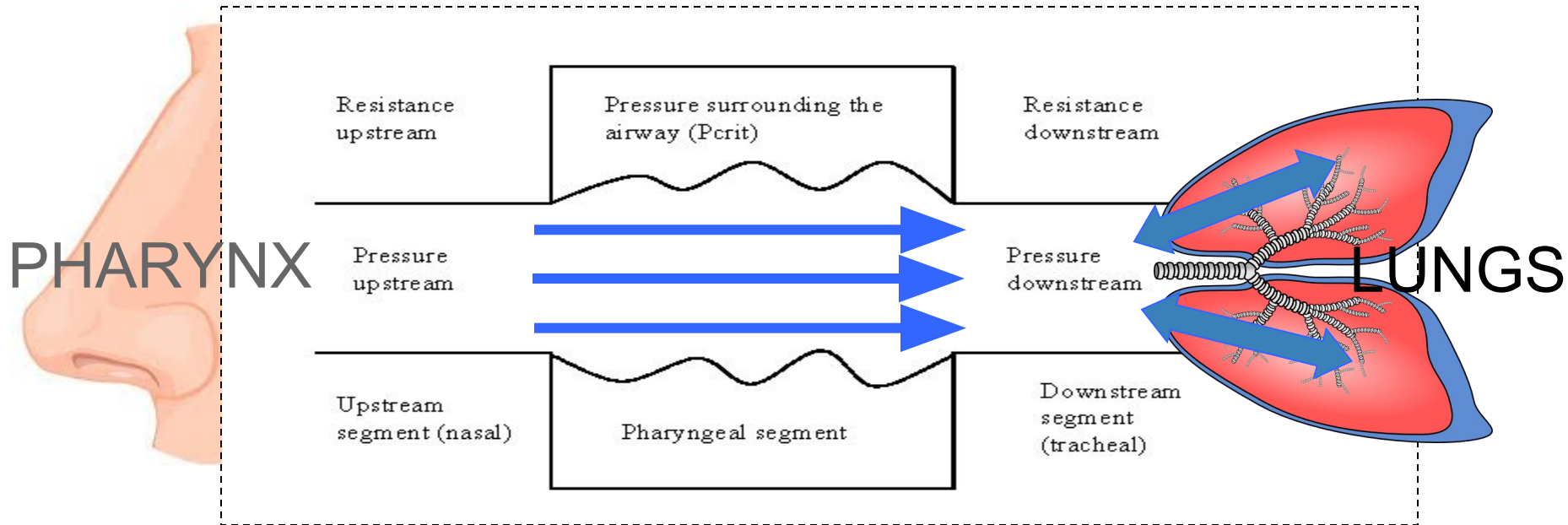


How Does OSA Happen & Why It Is So Common



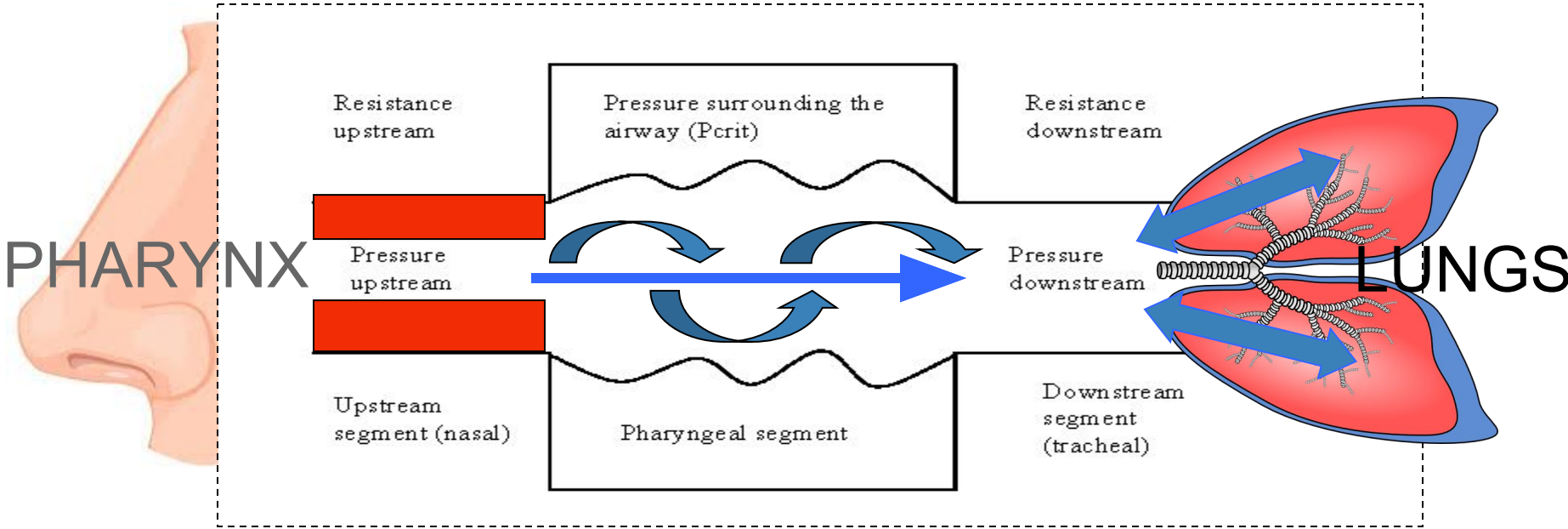
Increased Tissue Pressure

How Does OSA Happen & Why It Is So Common



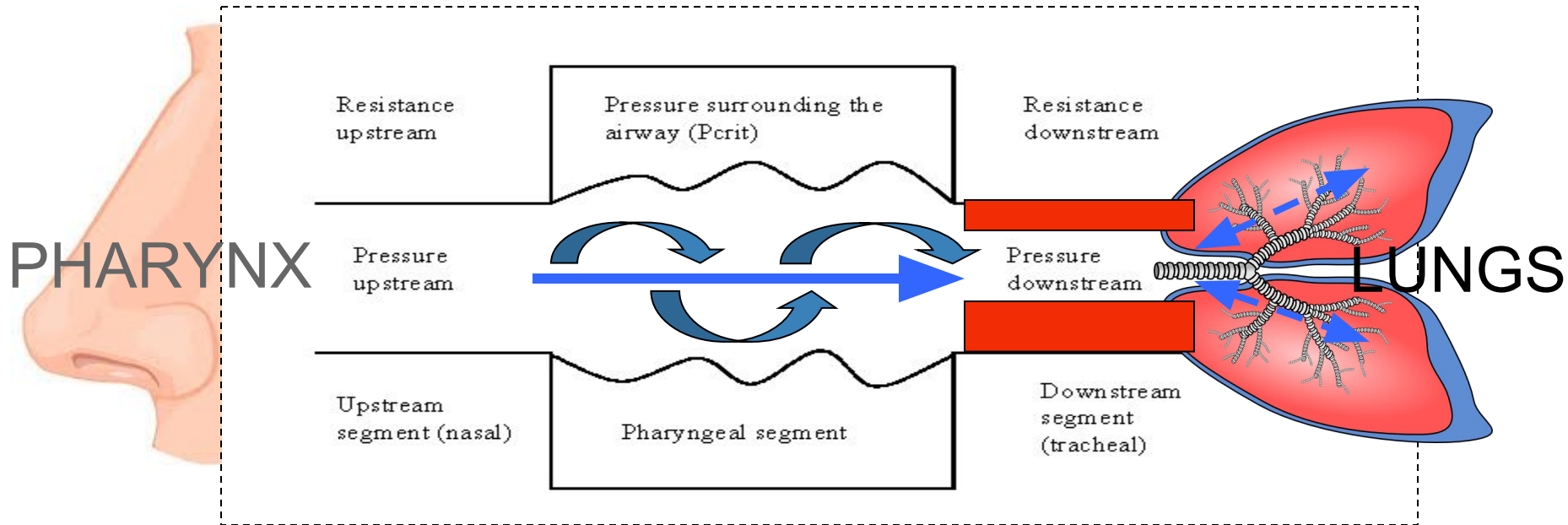
Starling Resistance Model

How Does OSA Happen & Why It Is So Common



Starling Resistance Model

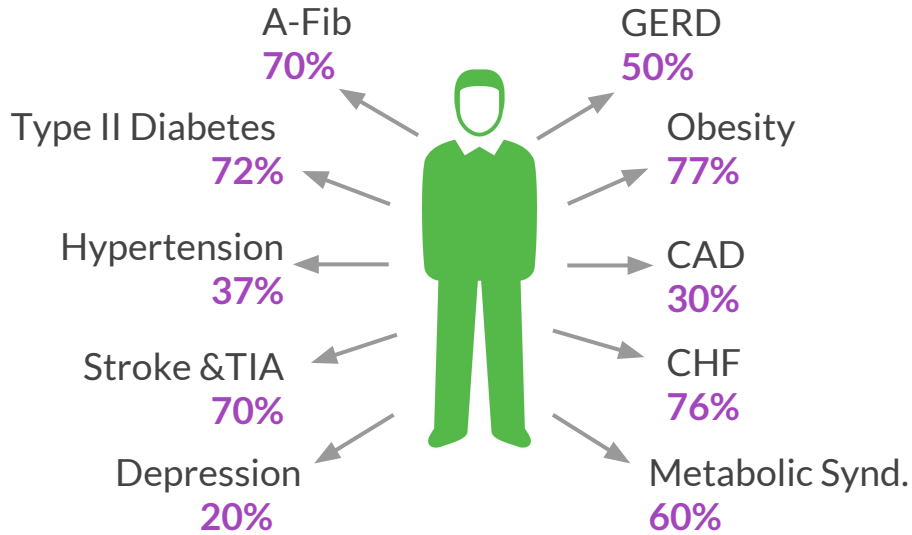
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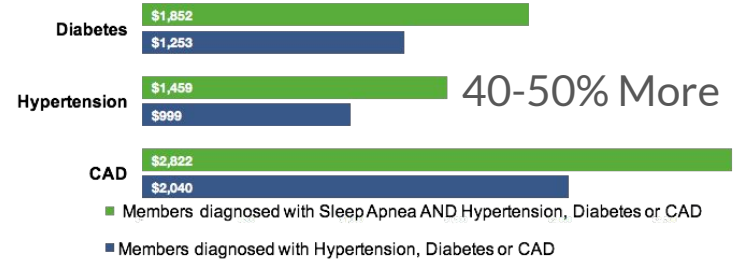
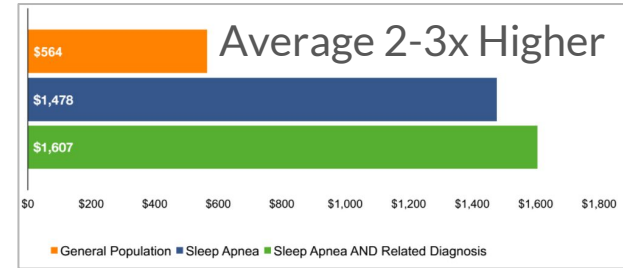
Starling Resistance Model

The Silent Problem with Obstructive Sleep Apnea

Contributes to Disease



Contributes to Cost



5/2013

Managing Sleep Apnea in a Professional Transportation Environment

2016 FMCSA MRB & MCSAC Recommendations for OSA

Screening Tools

Epworth Sleepiness Scale (Sleepiness)
Berlin Questionnaire (SDB)

Examiner Determines

High Risk According to the Berlin Questionnaire or clinical judgement (medical issues, sleepiness & accidents)
BMI $\geq 40 \text{ kg/m}^2$ (mandatory testing)
BMI $\geq 33 \text{ kg/m}^2$ (mandatory testing with ≥ 3 associated factors)

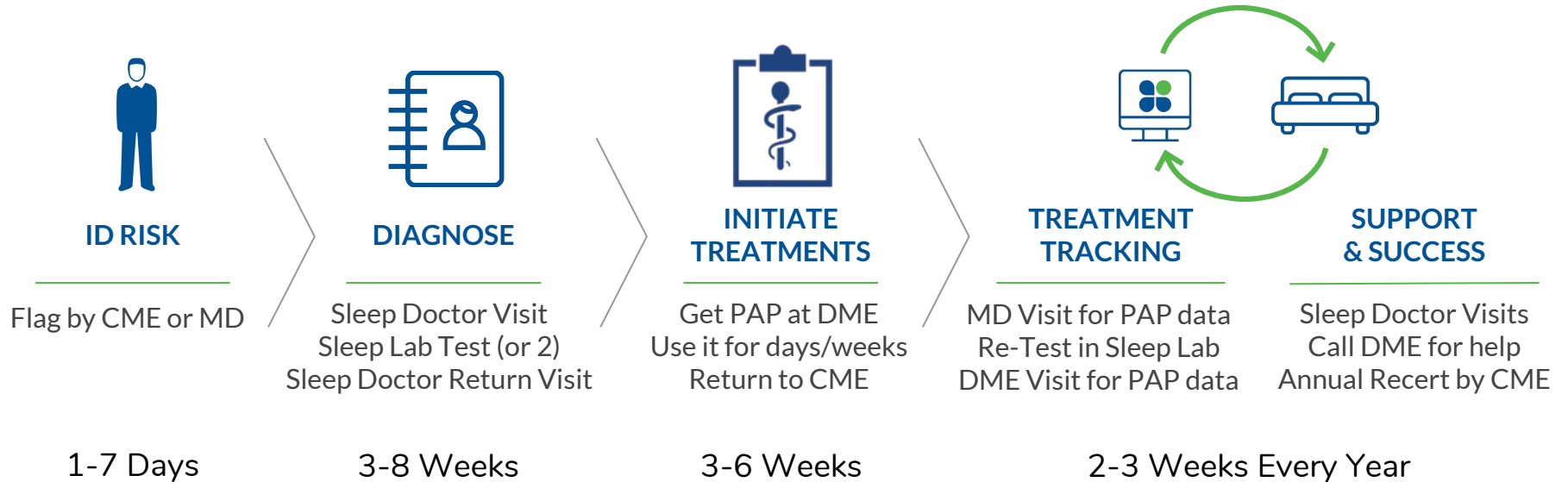
Associated Factors:

Age ≥ 42 yrs, Small Airway/Mallampati score, Large Neck Size (≥ 17 inches males; ≥ 15.5 inches females)
Small Jaw, Male or Post-menopausal Female, Stroke, CAD, Arrhythmia, Type II Diabetes, Hypertension,
Hypothyroidism, Chronic Loud Snoring, Witnessed Apneas During Sleep

At Risk for OSA Based Based on Other Known Risk Factors:

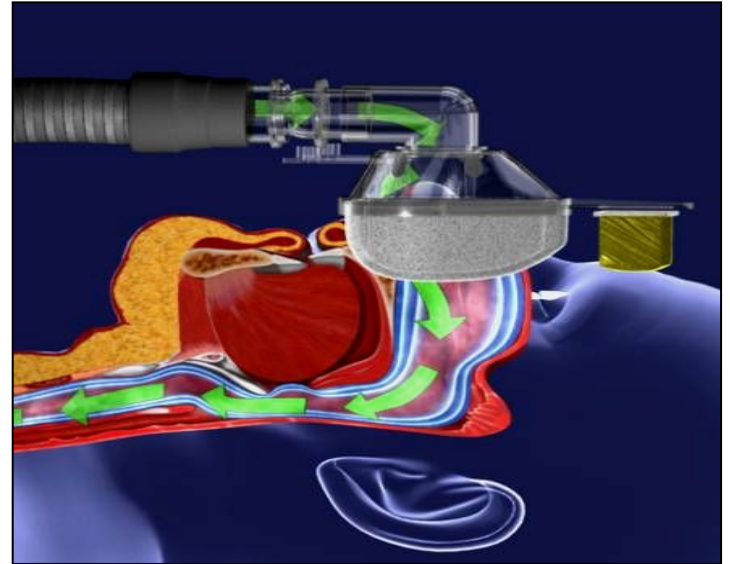
Daytime Sleepiness
Family History of Sleep Apnea
Depressed Mood, Morning Headaches, Asthma, COPD, Emphysema, Nasal Airway Constriction

Problems with OSA Care: Fragmented, Time Lost, Costly



Problems with OSA Care: Treatment Works, but Not Used

Positive Airway Pressure



Problems with OSA Care: Multiple Kinds of PAP

CPAP – one level of pressure on inspiration and exhalation. May provide pressure relief in early exhalation

Bi-level therapy – one level of pressure on inspiration and lower level of pressure on expiration. Back-up rate available.

Auto-titration therapy – pressure is adjusted based on airway dynamics and device algorithm

Adaptive Servo-Ventilation – automatically adjusts minute ventilation to control complex and central sleep apnea

Volume Assist Pressure Support – automatically adjusts pressure support to control sleep apnea and alveolar hypoventilation



Problems with OSA Care: Multiple Kinds of PAP Masks

Nasal

A common starting mask for OSA patients



Full

Good for mouth breathers



Pillows/Prongs

Claustrophobia
Allergic reaction
Side sleepers



Chinstrap may be used for n

nasal interfaces



Problems with OSA Care: Use & Adherence is Low

CMS reimbursement: ≥ 4 hours of use, $\geq 70\%$ of time (Kribbs, et al.¹)

Studies show patient adherence to therapy is not ideal:

Kribbs found that *54% are inconsistent users*¹

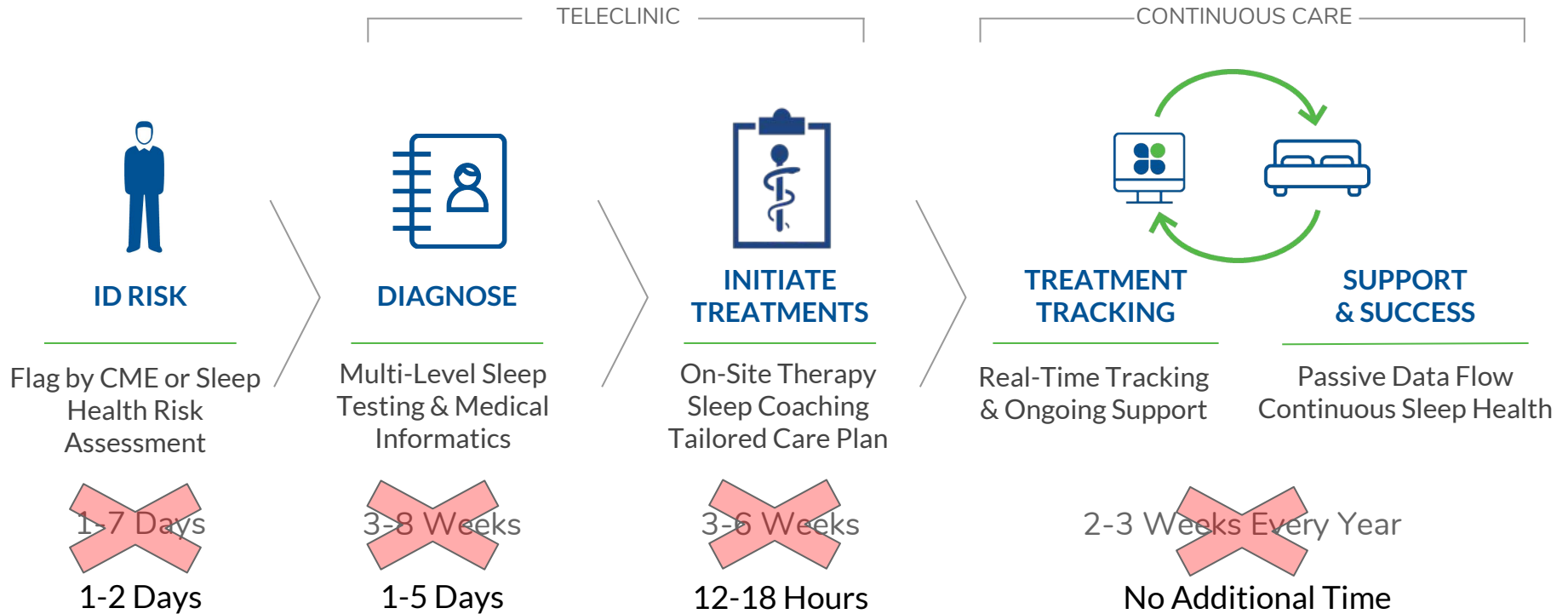
Weaver found *47% are inconsistent users*²

Weaver, et al.³, demonstrated that a minimum of 6 hours use is required to overcome cognitive impact of OSA

Filtness, et al.⁴, showed that even in compliant PAP patients a single night's withdrawal dramatically impairs driving and cognition

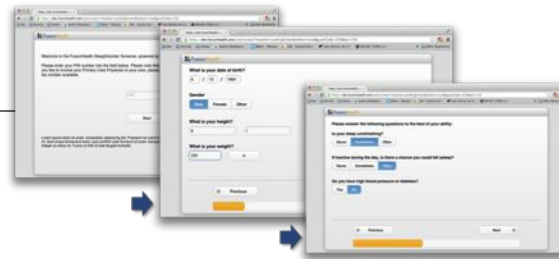
1.Kribbs, et al., *Objective Measurement of Patterns of Nasal CPAP Use by Patients with OSA. Am Rev of Respir Dis* 1997;147(4); 2.Weaver, et al., *Night-to-Night Variability in CPAP Use Over the First Three Months of Treatment. Sleep* 1993;20(4):278-28; 3.Weaver, et al., *Relationship between hrs of CPAP use and normal levels of sleepiness and daily functioning. Sleep* 2007; 30(6):711-19; 4.Filtness, et al., *One night's CPAP withdrawal in o/w compliant pts: marked driving impair but awareness of sleepiness. Sleep Breath* 2012;16(3):865-71.

Correcting the Problems with OSA Care for Transportation



Correcting the OSA Screening Problem for Transportation

Drivers May Be
Flagged by CME, or
Identified using an
Accepted & Validated
OSA Screening
Algorithm



Jeffrey Durmer (Fusion Health) | MR028153 | Compliance: None / None | Date of Birth: None | Mobile: 509-435-XXXX | Gender: M | Home: None | Task: Medical Review | Actions: Home, Print, Refresh, Logout, Help, Settings

FusionHealth | **SCREENER REPORT**

PATIENT: Anthony ID: 5496420564647ecbaf0e4f	EMPLOYER: LOCATION: TRUCKR	GENDER: Male MRN: MR028153	DOB: DATE: Oct 2, 2015
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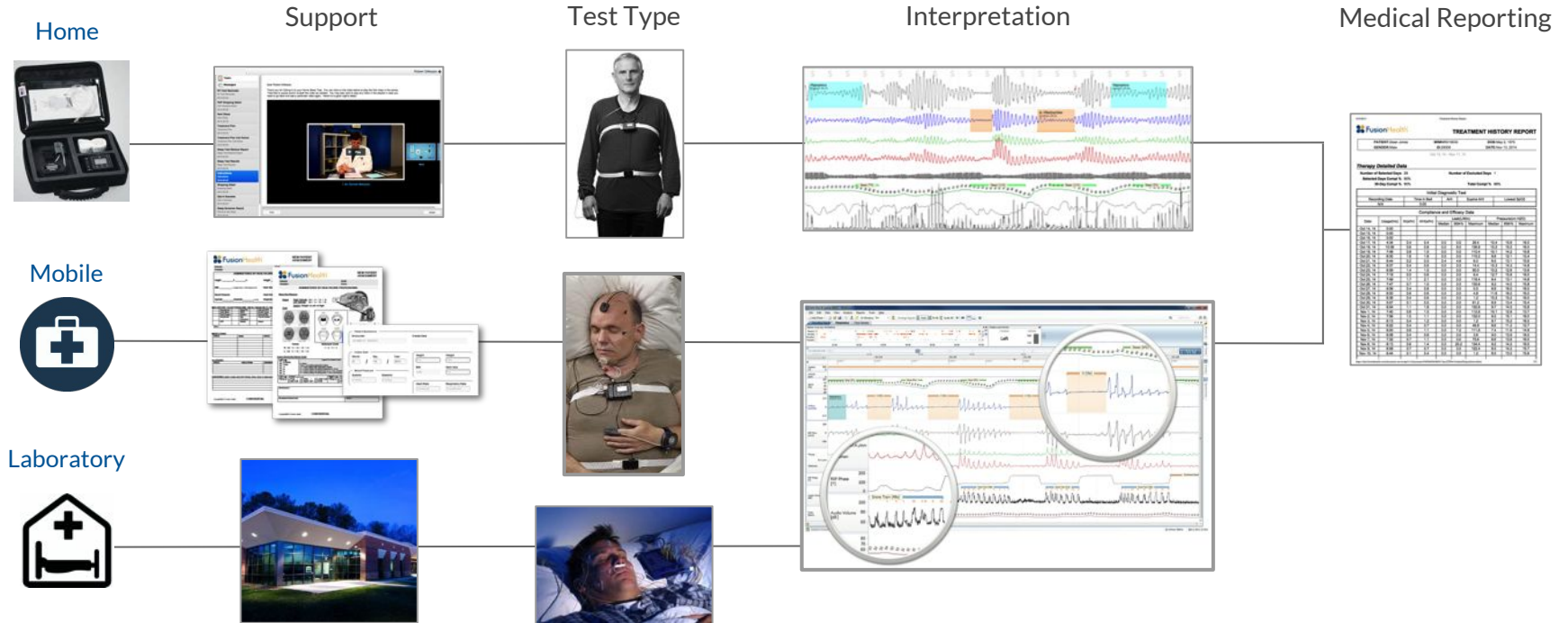
Screeener Score

Height:	5 feet 11 inches
Weight:	210
MAP:	0.82
FSQ:	At risk
RLS Score:	1
Income Score:	1
DOT Required:	Yes
Previous Diagnosis:	No

Additional Medical Condition: I have Heart Condition that is treated with medication (like a water pill, a blood thinner or blood pressure medicine) or a device (like a pacemaker, defibrillator or medicine pumps).

Description of Condition(s): High blood pressure and renal artery stenosis. Lisinopril 20 mg twice a day, hydrochlorothiazide 25 mg once a day, adult aspirin daily.

Correcting the OSA Testing Problem for Transportation



Correcting the OSA Treatment Problem for Transportation

Medical Orders

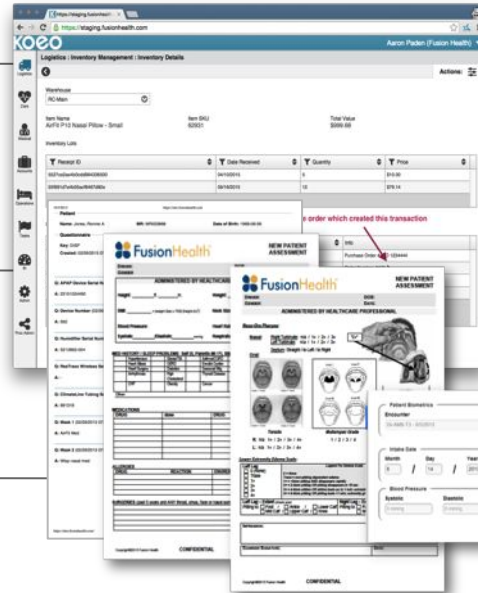


Treatment Initiation

Results



HOME



Home

*Medical Review
PAP & Program Education
PAP Fitting & Dispensing
1-2 WEEKS*

MOBILE

Mobile

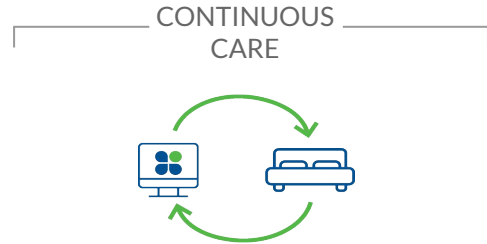
*Medical Review
PAP & Program Education
PAP Fitting & Dispensing
12-18 HOURS*

LABORATORY

Laboratory

*Medical Review
PAP & Program Education
PAP Fitting & Dispensing
1-4 WEEKS*

Correcting the OSA Treatment Adherence Problem



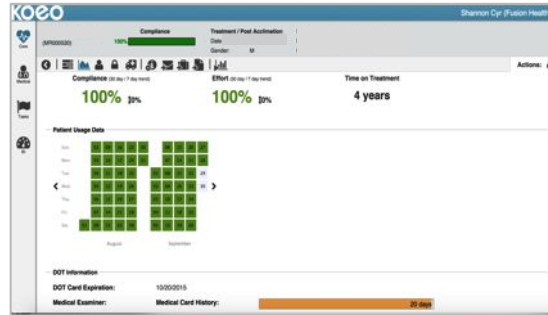
TREATMENT TRACKING

Real-Time Tracking & Ongoing Support

SUPPORT & SUCCESS

Passive Data Flow
Continuous Sleep Health

Individual Measurements



AHI, AI, HI, Central/Complex Apnea
Mask Leaks, Pressures
Effort & Compliance
Successful Use (Weeks, Days, Hrs)
Recertification Dates & Requirements
Medical History & Sleep Symptoms
Biometrics & Physical Evaluations
Resupply Schedule by Use Patterns
Personal Motivation Factors
Predictive Behavioral Analytics

Systematic Issue Escalation

A screenshot of the KOEO network dashboard for Jeffrey Durrer (Fusion Health). The dashboard shows a table of network tasks with columns for Assign To, Task Name, Patient ID, Patient Name, Availability, Created On, and Status. The table lists various tasks such as 'Medical Review', 'Review DOT Recertification', 'Order PAP', 'Reserve Case', and 'Sub Recertification' with their respective dates and statuses.

Behavioral Modification
Technical Barrier Resolution
Treatment Augmentation
Medical Management & Coordination
Therapy Equipment Resupply
Certification Data for Medical Examiner
Account Policy Adherence
Account Data Analytics & Reporting
Account Program Enhancements

Outcomes of Restoring Sleep

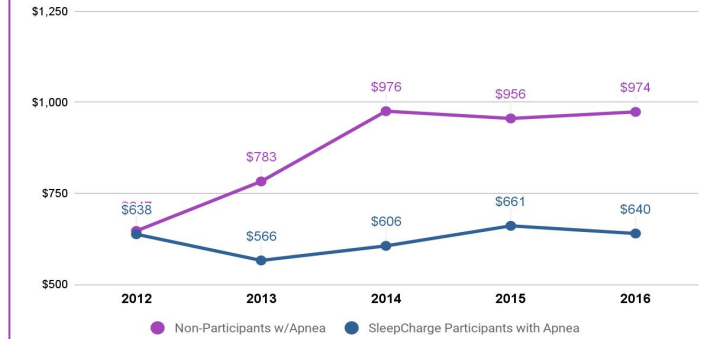
50% Reduction in Claims Cost (5 yrs)

51% Reduction in Diabetes Claims Costs (1 yr)

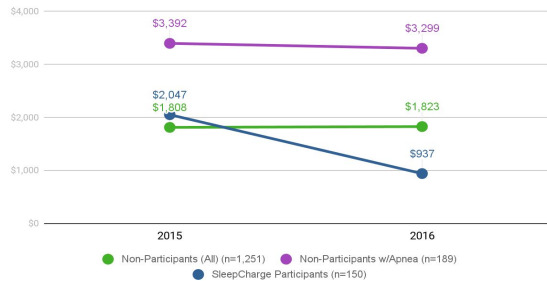
52% Reduction in Hypertension Claims Costs (1 yr)

69% Reduction in Preventable Accidents (5 yrs)

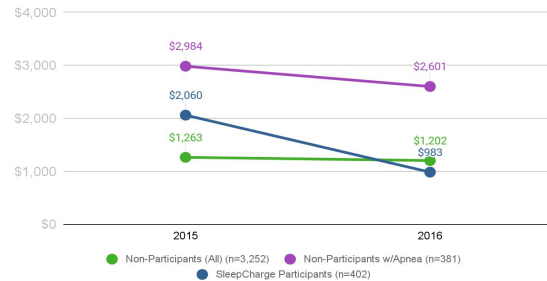
PMPM Comparison



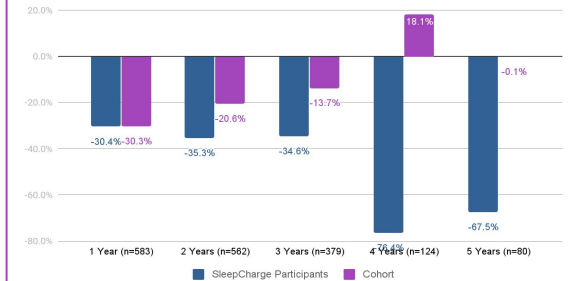
Diabetes Cost of Poor Outcomes per Member w/Diagnosis



Hypertension Cost of Poor Outcomes per Member with Diagnosis



5 Year Preventable Accident Trend



Employee Outcomes: Mood, Health & Behaviors



Measurable Improvements in 3 Months

Employees Report

34%

More Vitality

Employees Feel

50%

healthier

Employees Become

25%

more productive

Translates into

40%+

Less Errors in
Production

Employer Outcomes: Healthcare Utilization



Healthcare Costs & Waste

Health Care Delivery
improved by

160%

SAVED in annual
healthcare
claims
costs

50%

SAVED

\$250k

in Wasted Health Care
System Expense in first 3 mos

Long-Term Changes by Restoring Sleep



Average Healthcare Costs

22.8%

savings
after 12 months

22.7%

savings
after 24 months



Workplace Risks & Accidents

69%

less accidents
after 12 months

75%

less accidents
after 24 months

Summary

Human fatigue is the result of a 24 hour cycle of Wake and Sleep related risk factors

Addressing wake related fatigue risk factors is important, but *may be rendered ineffective* if sleep related fatigue risk factors are not taken into account

Sleep Duration, Timing and Quality determine the ability of sleep to restore the body and brain to reduce fatigue the next day

Obstructive Sleep Apnea is the most common sleep disorder that impairs all 3 sleep restoration fundamentals, health, wellbeing, productivity, safety and healthcare costs

Managing Obstructive Sleep Apnea in the transportation workplace is particularly problematic due to fragmentation, time, and cost. Utilizing technology-enabled sleep health systems can drive health, safety and cost outcomes for both employees and employers.

Reduce the Risk, Manage the Night

Jeffrey S. Durmer, MD, PhD
Chief Medical Officer
jdurmer@fusionhealth.com



Sleep



Recover



Perform